



# **Compliance Management Services for Detroit Water and Sewerage Department**

**December 19, 2017**



Baker Tilly refers to Baker Tilly Virchow Krause, LLP,  
an independently owned and managed member of Baker Tilly International.



Candor. Insight. Results.

# DWSD's CMS function's mission



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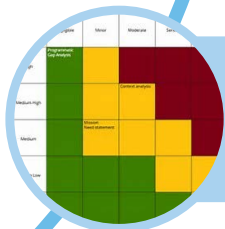
**Act as an independent, internal full service audit and compliance function**



**Examine and evaluate DWSD's activities in order to improve accountability for public funds**



**Improve the operations of DWSD**



**Better anticipate potential issues and offer solution alternatives to mitigate risk**



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Baker Tilly



George Johnson &  
Company

# Baker Tilly – Energy and Utilities Group

## Lead partner - Russ Hissom



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600+

Serving more than  
600 utility clients



100+

Serving more than 100  
electric utilities



50+

Nearly 50 years of  
industry experience

Energy and Utilities Group serves nearly **600 utilities** nationwide with compliance and consulting services.

Baker Tilly serves over **200 clients** with risk and internal audit services.

***Baker Tilly works with our clients to proactively address strategic, operational and financial risks***

# George Johnson & Company

## Lead partner – Anthony McCree



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### Experience

- Ranked among the largest CPA firms headquartered in Detroit. Leading service provider of governmental and not-for-profit organizations in the Detroit area

### Quality

- GJC prides itself on the personal attention and exceptional quality. Our staff enable GJC to provide specialized assistance and continuity of service on each engagement.

### Services specialization

- GJC professionals specialize in financial and compliance audits, taxation, fraud assessment, management policy, financial analysis, crisis management, consulting and other accounting services.

# Integrated and co-located compliance management services



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## 1. Risk & Gap Assessment



## 2. Set Reporting Objectives



## 3. Develop SOPs & Process Flow Maps



## 4. Implementation of Plan



## 5. Provide Training



## 6. Transition In-House

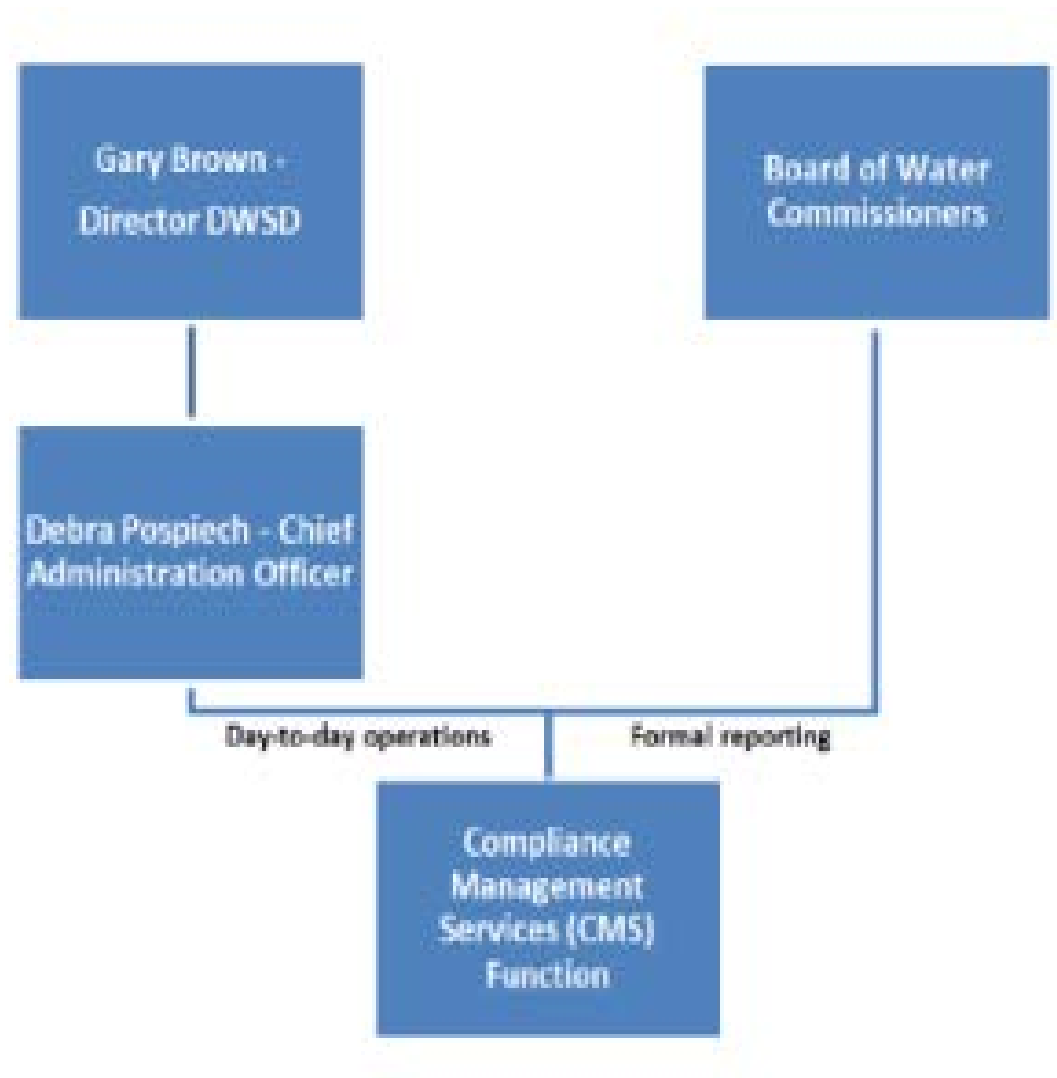


## 7. Perform Continuous Evaluation



***- On-site  
presence***

***- Operational  
assessments  
performed by  
Baker Tilly  
team***



# Project timeline



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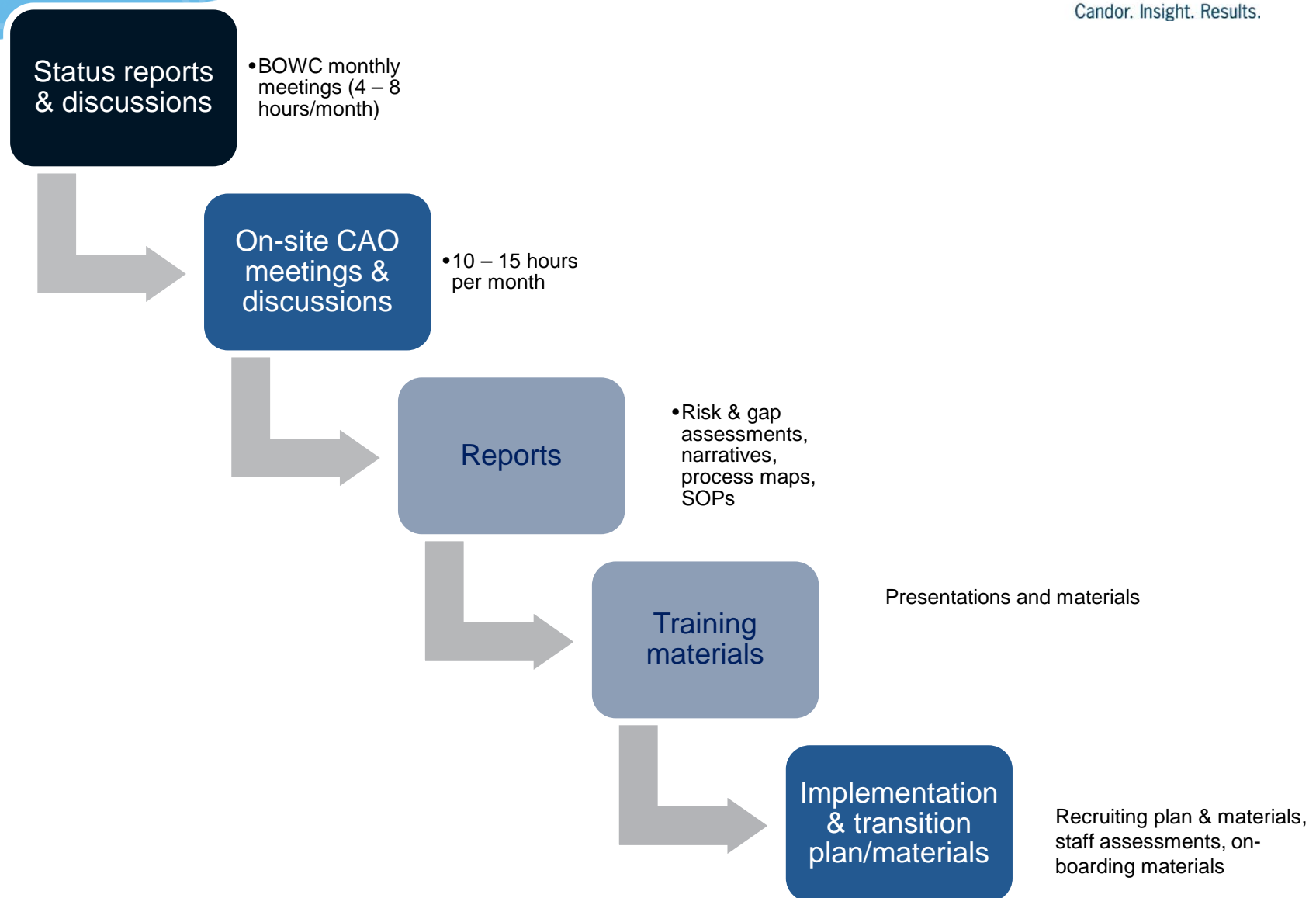
WBS	Task Name	Start	2018				2019				2020				2021	
			Qtr 1	Qtr 2	Qtr 3	Qtr 4	Qtr 1	Qtr 2	Qtr 3	Qtr 4	Qtr 1	Qtr 2	Qtr 3	Qtr 4	Qtr 1	Qtr 2
1	Project management and oversight	Mon 1/1/18														
2	Option year	Wed 1/1/20														
3	Task 1: Risk and gap assessment	Mon 1/1/18														
4	Task 2: Set objectives	Sun 4/1/18														
5	Task 3: Develop SOPs & process flow maps	Sun 4/1/18														
6	Task 4: Implementation of plan	Sat 9/1/18														
7	Task 5: Provide training	Mon 10/1/18														
8	Task 6: Transition in-house	Tue 1/1/19														
9	Option year	Wed 1/1/20														
10	Task 7: Perform continuous evaluation	Tue 1/1/19														
11	Option year	Wed 1/1/20														
12	Task 8: As-needed assistance	Mon 1/1/18														
13	Option year	Wed 1/1/20														



# Report and deliverables



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## ***Questions and discussion***

## Contact Information



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*Call or email us at any time with  
questions or areas of concern*